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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/886,912
		Filing Date	June 21, 2001
		First Named Inventor	Munoz, Rodrigo
		Group Art Unit	2161
		Examiner Name	
Total Number of Pages in This Submission	9	Attorney Docket Number	G03.013

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): A check for \$1200.00 for Notice of Missing Parts and Assignment Recordation.
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		Remarks
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kurt M. Maschoff
Signature	
Date	September 26, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: September 26, 2001

Type or printed name	Jill Holme	Date	September 26, 2001
Signature			

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Munoz

Application No.: 09/886,912

Filed: 6/21/2001

Title: METHOD AND APPARATUS FOR
MATCHING RISK TO RETURN

Attorney Docket No.: G03.013

Group Art Unit: 2161

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

(X) Statutory basic filing fee (X) Utility \$710.00 () Design

(X) Additional claim fees of \$320.00

(X) Missing Parts Surcharge = \$130.00

() A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

() one month = \$110.00

() two months = \$390.00

() three months = \$890.00

() four months = \$1,390.00

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Date of Deposit: September 26, 2001

Typed Name: Jill Holme

Signature: Jill Holme

Respectfully submitted,

By

Kurt M. Maschoff

Reg. No. 38,235

(203) 972-0081 / Phone

Date: September 26, 2001



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Page 1 of 1

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/886,912	06/21/2001	Rodrigo Munoz	G03.013

Buckley, Maschoff, Talwalkar, & Allison LLC
111 Elm Street
New Canaan, CT 06840

CONFIRMATION NO. 6647
FORMALITIES LETTER



OC000000006424880

Date Mailed: 08/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$320.
 - \$320 for 4 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1160.**

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202
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01 FC:101
02 FC:102
03 FC:105